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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
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| 10/671,670      | 09/29/2003  | Kiyoshi Watanabe     | 2003_1195A          | 8260             |

513 7590 01/21/2005

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WASHINGTON, DC 20006-1021

EXAMINER

BATSON, VICTOR D

| ART UNIT | PAPER NUMBER |
|----------|--------------|
|----------|--------------|

3671

DATE MAILED: 01/21/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/671,670

Applicant(s)

WATANABE, KIYOSHI

Examiner

Victor Batson

Art Unit

3671

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-11 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-11 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 9/29/03.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_.

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***Claim Objections***

Claims 1-11 are objected to because of the following informalities: In claim 1 line 4, claim 7 line 2 and claim 8 line 2, "the base" lacks proper antecedent basis.

Appropriate correction is required.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1,2,4,5,7,11 are rejected under 35 U.S.C. 102(b) as being anticipated by Jones (5,088,214).

Jones discloses a tooth block having all of applicant's claimed structure including a bucket 20, an adapter member 29, and a masking portion (not numbered, but shown in figures 1 & 10) that overlaps the outer surface of the lip and is connected through a fixing portion 38.

Claims 1,2,4,5,7,11 are rejected under 35 U.S.C. 102(b) as being anticipated by Japanese reference (3052290).

Japanese reference (3052290) discloses a tooth block having all of applicant's claimed structure including a bucket A, an adapter member 1, and a masking portion 22 that overlaps the outer surface of the lip and is connected through a fixing portion 8 as shown in figures 5 & 7.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 3,8,10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jones (5,088,214) in view of Lukavich (3,851,413).

Jones discloses a tooth block as described previously, but lacks the fixing portion being comprised of a bar-like member. Jones discloses using a lock member as the connecting means.

Lukavich shows that a bar-like member (bolt 88 & nut 90) is an equivalent connecting means known in the art. Therefore, because these two connecting means were art-recognized equivalents at the time the invention was made, one of ordinary skill in the art would have found it obvious to substitute a bolt & nut connecting means for a lock.

Claims 3,8,10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Japanese reference (3052290) in view of Lukavich (3,851,413).

Japanese reference (3052290) discloses a tooth block as described previously, but lacks the fixing portion being comprised of a bar-like member. Japanese reference (3052290) discloses using a lock member as the connecting means.

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Lukavich shows that a bar-like member (bolt 88 & nut 90) is an equivalent connecting means known in the art. Therefore, because these two connecting means were art-recognized equivalents at the time the invention was made, one of ordinary skill in the art would have found it obvious to substitute a bolt & nut connecting means for a lock.

### ***Conclusion***

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. The prior art of record discloses various tooth blocks. Additionally, references Lukavich et al. (3,762,079), and Kabay et al. (3,648,391) disclose the use of bar-like connecting means comprised of a bolt and nut combination.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Victor Batson whose telephone number is (703) 305-6356. The examiner can normally be reached on Monday through Friday (except Wednesday).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tom Will can be reached on (703) 308-3870. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

January 18, 2005



Victor Batson  
Primary Examiner  
Art Unit 3671